

# Neutrinos in Particle Physics and Cosmology

- Standard Model (SM) Neutrinos
- Neutrino Oscillations
- Neutrino Masses & Mixings
- Neutrinos in Warped Extra Dimension
- Inflationary Cosmology
- Inflation Models / Leptogenesis
- Summary

# U N I T S

$$\hbar = c = k = 1$$

$$M_{\text{Planck}} \approx 1.2 \times 10^{19} \text{ GeV} = G_N^{-1/2}$$

↑  
Newton's  
Constant

$$\text{GeV}^{-1} = 2 \times 10^{-14} \text{ cm}$$

$$\text{GeV} = 1.2 \times 10^{13} \text{ K}$$

$$\text{Mpc} = 3 \times 10^{24} \text{ cm} = 1.5 \times 10^{38} \text{ GeV}^{-1}$$

$$\text{pc} = 3.26 \text{ LY} = 3.1 \times 10^{18} \text{ cm}$$

# Standard Model (SM)

- Gauge Symmetry  $SU(3) \times SU(2) \times U(1)$   
 $\underbrace{\hspace{1cm}}_{\text{QCD}} \quad \underbrace{\hspace{1cm}}_{\text{EW}}$   
 $\downarrow \hspace{1.5cm} \downarrow$   
confined phase partial higgs phase

- Matter Multiplets

$$\begin{pmatrix} u_i \\ d_i \end{pmatrix}, u_i^c, d_i^c$$

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}, e^c$$

15 fields  
per family  
( $i=1,2,3$  — color index)  
No gauge anomalies!

- Higgs  $\begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \equiv \phi$

$\langle \phi \rangle \neq 0$  breaks  $SU(2) \times U(1) \rightarrow U(1)_{\text{em}}$ ;  
Also, charged fermions &  $W^\pm, Z$  acquire masses.

# Predictions (confirmed)

Weak Neutral Currents

Parity Violation in Atoms

$W^\pm$ ,  $Z$  gauge bosons

Gluon jets

Asymptotic Freedom

$c, t, b$  quarks; CP violation

Numerous other tests (Stable proton,  $\tau_p \gtrsim \text{few} \times 10^{33} \text{ yrs}$ )

? Higgs boson ( $m_h \gtrsim 114 \text{ GeV}$ )



- The  $SU(2)_L \times U(1)_Y$  component of the theory exhibits spontaneous symmetry breaking:

$$SU(2)_L \times U(1)_Y \xrightarrow{\langle H \rangle} U(1)_{em}$$

$(Q = T_3 + Y)$

Cf: Superconductor  $U(1)_{em} \xrightarrow{\langle \phi \rangle} \mathbb{Z}_2$   
 $\nwarrow$  Cooper pair

The  $H$  field is a doublet of  $SU(2)_L$  and contains 4 real fields. Three are absorbed by  $W^\pm, Z$ , while the 4<sup>th</sup> acquires mass  $\sim$  electroweak scale.  
 EXPT:  $m_{h^0} \geq 115 \text{ GeV}$

- Spontaneous breaking of  $SU(2)_L \times U(1)_Y$  provides masses not only for  $W^\pm, Z$ , but also for quarks & charged leptons :

Yukawa couplings

$$\overline{\begin{pmatrix} t \\ b \end{pmatrix}_L} t_R H, \text{ etc.}$$

With no 'right-handed' neutrinos, we (naively) expect the observed neutrinos to be 'massless'.

However, this is not quite true!

Consider the combination

$$\nu_L H \nu_L H \quad \leftarrow \text{violates lepton number} \therefore \text{not possible for } e^\pm, \text{ etc}$$

which is gauge invariant. However,

it has mass dimension 5, so

the coefficient in front is proportional

to  $1/M_{\text{Planck}}$ . Thus, we expect (at most)

that  $m_\nu$  in the SM is of order

$$\langle 100 \text{ GeV} \rangle^2 / M_{\text{Planck}} \sim 10^{-5} \text{ eV} \quad (\text{or less}).$$

# Neutrino Oscillations

For non-vanishing neutrino masses, and if lepton number is not absolutely conserved, the weak-interaction eigenstates are linear combinations of mass eigenstates.

$$\begin{pmatrix} \nu_{\mu} \\ \nu_e \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

↑  
produced in weak intns. (sun, atmosphere, reactors)



Due to their mass differences,  
 $\nu_1$  and  $\nu_2$  propagate with slightly  
different frequencies:

$$\nu_1(t) = \nu_1(0) e^{-iE_1 t}$$

$$\nu_2(t) = \nu_2(0) e^{-iE_2 t}$$

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \frac{\Delta m^2 L}{E}}{\text{MeV}} \right)$$

*Handwritten annotations in red:*  
-  $eV^2$  with an arrow pointing to  $\Delta m^2$   
- *meters* with an arrow pointing to  $L$   
-  $\text{MeV}$  with an arrow pointing to  $E$

For solar  $\nu$ 's care must be exercised  
as they traverse the sun's interior (MSW).

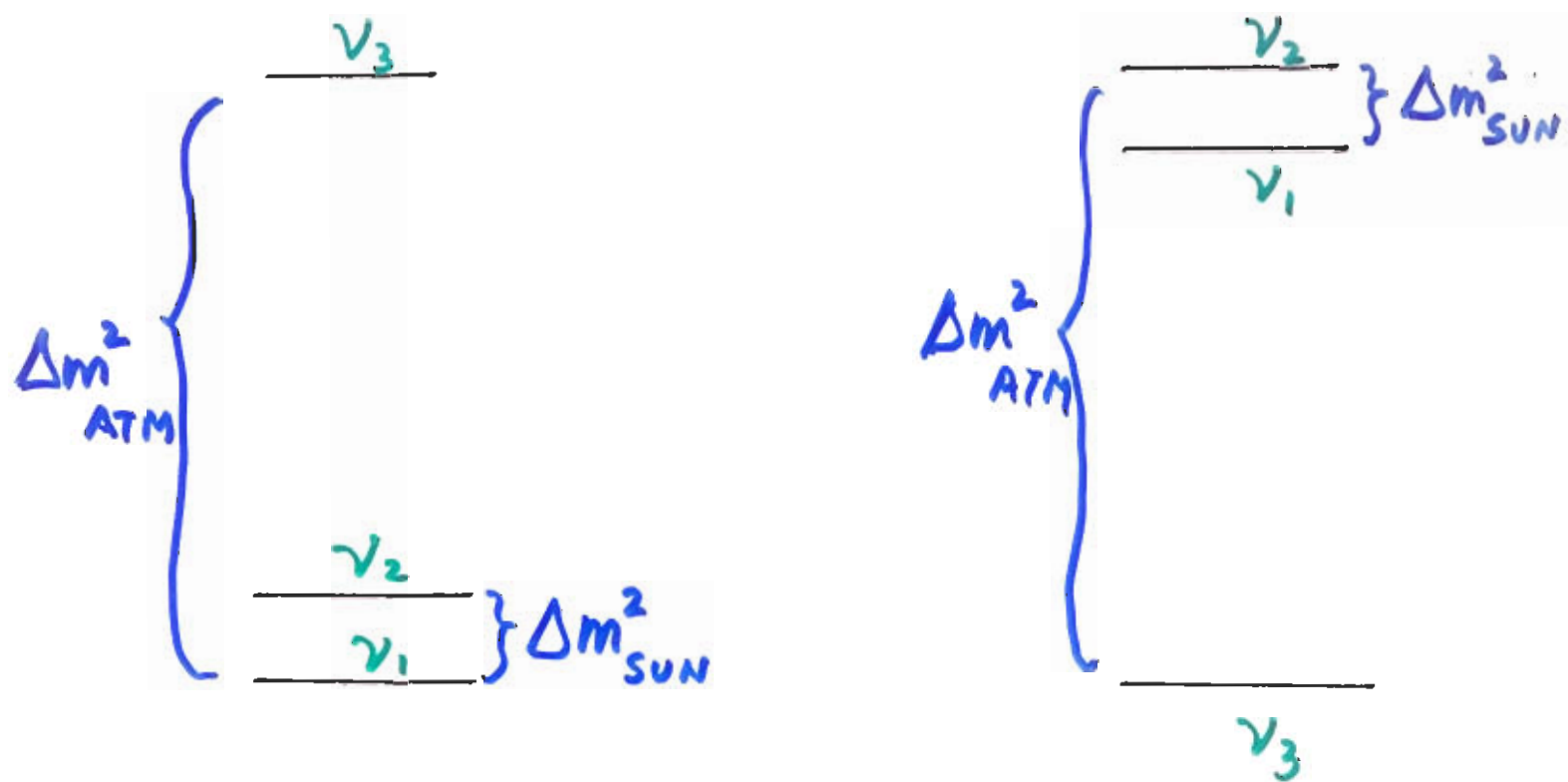
$$\Delta m_{ATM}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2$$

$$\Delta m_{SOL}^2 \simeq 8 \times 10^{-5} \text{ eV}^2$$

$$\left\{ \begin{array}{l} \text{Cf:} \\ \text{SM} \end{array} \right. \frac{1}{M_P} (LH)^2 \Rightarrow m_\nu \lesssim 10^{-5} \text{ eV}$$

↑  
dim 5 in SM

$\Rightarrow$  new physics between  
 $M_W$  and  $M_P$ .



(Neutrinos could also exhibit mass degeneracy)

What about the absolute values of  $\nu$  masses?

- Tritium  $\beta$  decay expt:

$$m_\beta = [\sum |U_{ei}|^2 m_i^2]^{1/2} \leq 2.2 \text{ eV}$$

- WMAP + Large Scale Structure

$$\sum m_{\nu_i} \lesssim 1 \text{ eV}$$

## See - Saw Mechanism

Neutrinos essentially 'massless' in SM

because  $\nu_R$  is absent. By introducing

$\nu_R$ , we could have a <sup>Dirac</sup> mass

term  $h \bar{\nu}_R \nu_L H \sim 10^{-1} \text{eV}$ ,

provided  $h \sim 10^{-12}$ . This

is not particularly attractive

(unless we invoke <sup>an</sup> extra dimension).

Note that  $\nu_R$  is a SM singlet

$\Rightarrow$  we can have a mass term

violates  $L \rightarrow M \nu_R \nu_R$  ( $M \gg M_W$ )



The neutrino mass matrix

$$\begin{matrix} \nu_L \\ \nu_R \end{matrix} \begin{pmatrix} \nu_L & \nu_R \\ \approx 0 & m_{\text{Dirac}} \\ m_{\text{Dirac}} & M \end{pmatrix}$$

Eigenvalues:

$$\lambda_{\text{LARGE}} \approx M$$

$$\lambda_{\text{SMALL}} \approx m_{\text{Dirac}} \frac{m_{\text{Dirac}}}{M}$$

$$\sim 10^1 \text{ eV} \quad \text{if } M \sim 10^{14} \text{ GeV}$$

Neutrinos are  
Majorana particles  
 $\Rightarrow \beta\beta\nu$

  
NEW PHYSICS

## Neutrino Sector

- $\Delta m_{ATM}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2$

$$\sin^2 2\theta_{23} \approx 1$$

- $\Delta m_{SOL}^2 \simeq 8 \times 10^{-5} \text{ eV}^2$

$$\sin^2 2\theta_{12} \approx 0.8$$

- $\theta_{13} \lesssim 0.2 \text{ (CHOOZ)}$

Charged Leptons exhibit mass

hierarchies:  $\lambda_e : \lambda_\mu : \lambda_\tau \sim \lambda^5 : \lambda^2 : 1$ ,

with  $\lambda \simeq 0.2$

Yukawa  
Couplings

Also, quark masses and mixings (CKM) exhibit a hierarchical structure:

$$\lambda_u : \lambda_c : \lambda_t \sim \lambda^8 : \lambda^4 : 1$$

$$\lambda_d : \lambda_s : \lambda_b \sim \lambda^4 : \lambda^2 : 1$$

$$V_{us} \approx \lambda, \quad V_{cb} \sim \lambda^2, \quad V_{ub} \sim \lambda^3 - \lambda^4.$$

- Origin of this ?
- CKM mixings small, while  $\nu$  mixings are large; how ?

- Usual approach relies on postulating an additional flavor symmetry  $G_F$  which can be Abelian, non-Abelian (discrete &/or continuous).

- Simplest example based on  $U(1)$   
Froggatt,  
Nielson

$U(1)$  breaking yields  $\frac{\langle X \rangle}{M_*} \equiv \lambda \simeq 0.2$ ,

and suitable choice of  $U(1)$  flavor charges should yield the observed masses & mixings.

- In practice, though, things are not so simple.



Example :  $SU(5) \times U(1)$

Fermions :  $10(q, u^c, e^c), \bar{5}(d^c, l), 1(\nu^c)$

CKM & down quark/charged lepton  
mass hierarchies more or less fix

$U(1)$  charges :

$$Q(10_\alpha) = (3, 2, 0), \quad Q(\bar{5}_\alpha) = (1, 0, 0)$$

$$\begin{matrix} 10_1 \\ 10_2 \\ 10_3 \end{matrix} \begin{pmatrix} \bar{5}_1 & \bar{5}_2 & \bar{5}_3 \\ \lambda^4 & \lambda^3 & \lambda^3 \\ \lambda^3 & \lambda^2 & \lambda^2 \\ \lambda & 1 & 1 \end{pmatrix} \bar{H}(\bar{5})$$

$$V_{us} \sim \lambda, \quad V_{cb} \sim \lambda^2, \quad V_{ub} \sim \lambda^3 \text{ (decent)}$$

$10_2 - 10_3$  mixing is small



$\bar{5}_2 - \bar{5}_3$  mixing is large

$\Rightarrow$  large  $l_2 - l_3$  mixing (good)

However,  $l_1 - l_2$  mixing from this sector is small ( $\sim \lambda$ ).

Also, at  $M_{GUT}$ ,  $M_D = M_E$

$\Rightarrow m_b = m_\tau$  (okay)

$m_d/m_s = m_e/m_\mu$  (bad!)

## $U(1)$ Scenarios

Froggatt, Nielsen

⋮

- (Quasi) degenerate neutrinos

$$m_1 \simeq m_2 \simeq m_3$$

Difficult with  $U(1)$ ;  $SO(3)/SU(3)$

more promising; RG instabilities.

- Normal ~~Inverted~~ Hierarchy

$$m_1 \ll m_2 \ll m_3 \sim \sqrt{\Delta m_{ATM}^2}; m_2 \sim \sqrt{\Delta m_{SOL}^2}$$

Stable under RG ;

- Inverted Hierarchy

$$m_1 \simeq m_2 \simeq \sqrt{\Delta m_{ATM}^2}; m_3 \simeq 0$$

Stable under RG ; 'maximal'  $\theta_{12}$  requires tuning.

# Democratic Approach

- $U(1)$  does not distinguish  $l_1, l_2, l_3$

$\Rightarrow$  large lepton mixings (Fukugita, Tanimoto et al., Murayama, ...)

$\theta_{12}$  too large?

$\theta_{13}$ ?

$m_{\nu_i}$ ?

- Single RHN dominance (Suematsu, King, ...)

can yield  $m_{\text{sol}}/m_{\text{atm}} \ll 1 (!)$

- In a more elaborate scheme<sup>(with double seesaw)</sup>, applicable in some GUTs,

$$\tan \theta_{13} \approx \sqrt{\frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2}} \frac{\tan \theta_{12} \tan \theta_{23}}{1 + \tan^2 \theta_{12}}$$

$$\approx 0.05 - 0.14$$

(Q.S. + Z. Tavartkiladze)



## $\mu - \tau$ Symmetry

(Near) maximal atmospheric neutrino mixing has motivated the mass matrix ( $\mu - \tau$  symmetry):

$$M_\nu = \begin{pmatrix} x & y & y \\ y & z & w \\ y & w & z \end{pmatrix}, \quad \begin{matrix} x, y, z \\ \in \mathbb{C} \end{matrix}$$

From this one finds

$$\theta_{23} = 45^\circ$$

$$\theta_{13} = 0^\circ$$

• No prediction for neutrino masses; include quarks?

Matrix  $V$  which diagonalizes

the neutrino mass matrix is

proportional to

$$\begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\frac{\sin \theta}{\sqrt{2}} & \frac{\cos \theta}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{\sin \theta}{\sqrt{2}} & \frac{\cos \theta}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

For  $\sin^2 \theta = \frac{1}{3}$  (solar  $\nu$  osc), one obtains the tri-bimaximal mixing matrix

$$V \propto \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

Models 'based' on  $A_4$  (even permutations of 4 objects) attempt to realize this mixing pattern.

Ingredients include several new scalars as well as additional symmetries such as  $U(1)$ ,  $Z_n$  and  $U(1)_R$  (in susy case).

## A<sub>4</sub> Example <sup>Mar. et. al.</sup> (Altarelli et. al.)

$$\begin{aligned} \mathcal{L}_Y = & y_e e^c (\phi l) h_d / \Lambda + y_\mu \mu^c (\phi l)'' h_d / \Lambda \\ & + y_\tau \tau^c (\phi l)' h_d / \Lambda + x_a \xi (l h_u)^2 / \Lambda^2 \\ & + x_d (\phi' (l h_u)^2) + \text{h.c.} + \text{higher order} \\ & (\Lambda = \text{suitable cutoff}) \end{aligned}$$

Add' symmetries imposed to banish undesirable terms such as  $(l h_u)^2$ ,  $\phi' \leftrightarrow \phi$  exchange, etc.

'Require'  $\langle \phi' \rangle = (v', 0, 0)$ ,  $\langle \phi \rangle = (v, v, v)$ ,  
 $\langle \xi \rangle = u$  ( $\phi, \phi'$  are A<sub>4</sub> triplets;  
 $\xi$  = A<sub>4</sub> singlet)

This yields

$$m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a & 0 & 0 \\ 0 & a & d \\ 0 & d & a \end{pmatrix}$$

$$m_\ell = \frac{v_d v}{\Lambda} \begin{pmatrix} y_e & y_e & y_e \\ y_\mu & y_\mu \omega^2 & y_\mu \omega \\ y_\tau & y_\tau \omega & y_\tau \omega^2 \end{pmatrix}$$

with  $a \equiv x_a \frac{v}{\Lambda}$ ,  $d \equiv x_d \frac{v'}{\Lambda}$ ;  $\omega = e^{2\pi i/3}$

Introducing  $U(1)_F$  (charges 0, 2, 3 ÷ 4 for  $\tau^c, \mu^c, e^c$ )  
and completing calculation yields

$$\tan^2 \theta_{23} = 1$$

$$\tan^2 \theta_{12} = 0.5$$

$$\theta_{13} = 0$$

Also, with moderate fine tuning, one sol<sup>n</sup> yields

$$|m_3| \approx 0.05 \text{ eV}, \quad |m_1| \approx |m_2| \approx 0.017 \text{ eV}$$



# BARYONS FROM LEPTONS

According to Sakharov to realize baryon asymmetry one should have:

- B violation
- Departure from thermal equilibrium
- C and CP violation

The SM has these ingredients but things don't quite work out:

$$m_{\text{higgs}} \lesssim 70 \text{ GeV} \quad (\text{Expt: } m_h \gtrsim 114 \text{ GeV})$$

CP violation suppressed

Require physics beyond The SM.

In one popular scenario

(Fukugita & Yanagida), baryons come from leptons.

- An initial lepton asymmetry arises from the decay of RH neutrinos:

$$N \rightarrow LH, N \rightarrow \bar{L}\bar{H}$$

(and interference between tree & loop diagrams)

- EW sphalerons are exploited to achieve the desired baryon asymmetry  
(An initial lepton asymmetry is partially converted by sphalerons into baryon asymmetry)

- Non-thermal leptogenesis can be easily realized through inflation models.

In one simple scenario the inflaton decay yields RH neutrinos  $\Rightarrow$  leptogenesis.

- Example: Spontaneous breaking of (gauged)  $U(1)_{B-L}$   
 $\downarrow$   
requires RH  $\nu_R(N)$

Susy models work especially well here.



# WARPED EXTRA DIMENSION(S)

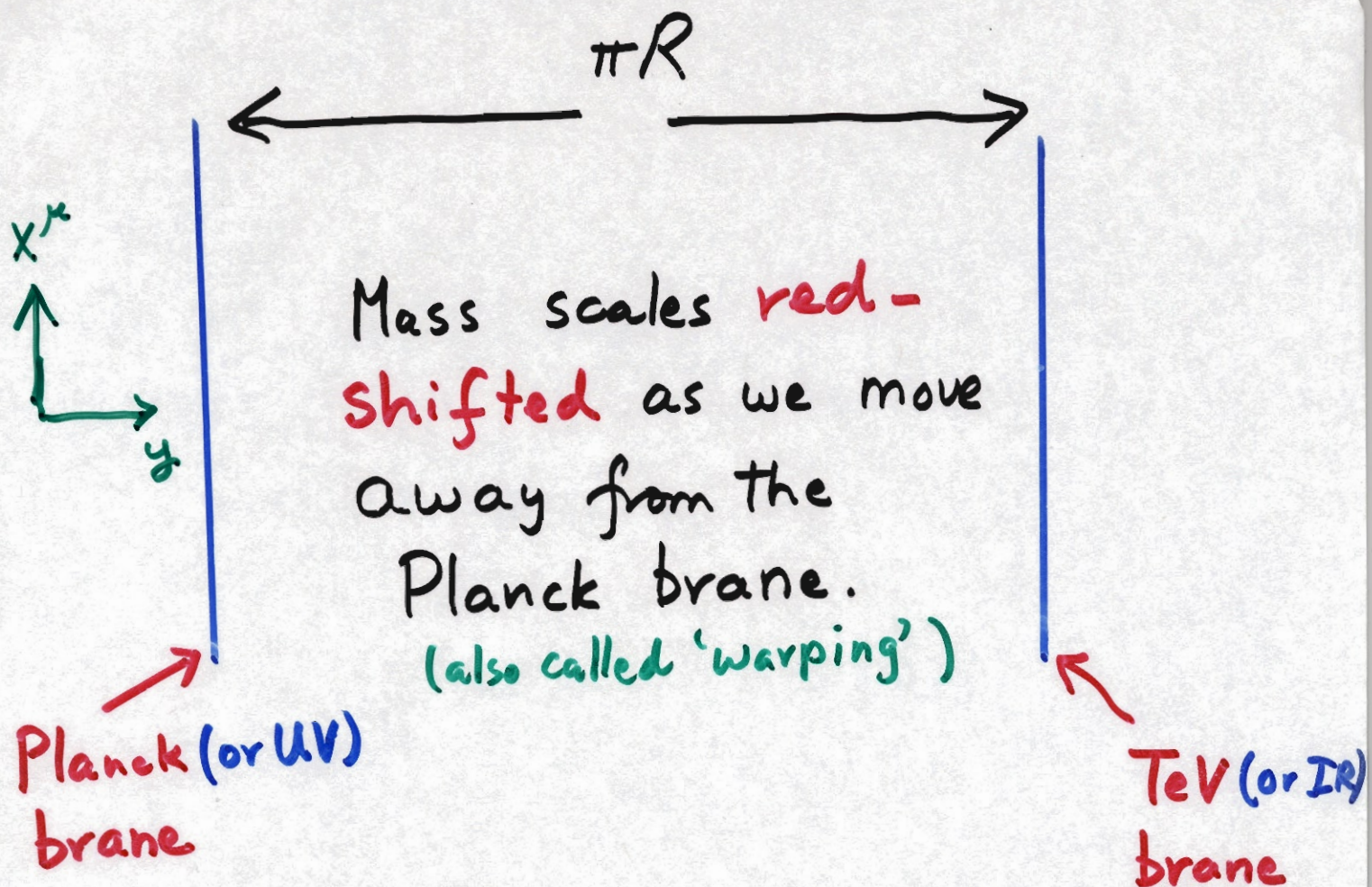
Introduce a bulk cosmological constant  $\Lambda$  in 5D such that

$$ds^2 = e^{-2k|y|} \underbrace{\eta_{\mu\nu} dx^\mu dx^\nu}_{\substack{3+1 \text{ dim} \\ \text{Minkowski} \\ \text{space}}} + dy^2$$

↑  
5th  
coordinate

$\Lambda < 0$  (anti de Sitter space)





$$(TeV \approx M_P e^{-\pi k R})$$

$$(kR \sim 10)$$

## TWO SCENARIOS

- Gravity propagates in bulk;  
SM fields reside on TeV brane.  
**(especially Higgs)**
- 'All' fields allowed to propagate in bulk  $\Rightarrow$  many interesting consequences.  
**except Higgs!**



## Original Proposal

All SM fields reside on the TeV brane ; only gravity feels the extra dimension.

⇒ hierarchy problem under control!  
(scale on TeV-brane  $\sim$  TeV)

**BUT**

Difficulty with non-renormalizable operators :

$$\frac{1}{M_{Pl}^2} \bar{\Psi} \Psi \bar{\Psi} \Psi \longrightarrow \frac{1}{(\text{TeV})^2} \bar{\Psi} \Psi \bar{\Psi} \Psi$$

- Rapid p decay
- Large FCNC
- Large neutrino masses ( $\gg$  eV)
- Fermion Mass hierarchies & mixings ?



## WAY OUT ?

- Symmetries ?
- Permit SM fields to leave the brane ? (Higgs stays on the TeV brane)

Gherghella  
Pomarol  
Hewlett et al  
Huber + QS

unless  
SUSY  
invoked

5d Bulk field  $\Rightarrow$  Tower of 4d fields

$$\text{KK ansatz: } \Phi(x^\mu, y) = \sum_{n=0}^{\infty} \Phi^n(x^\mu) f_n(y)$$

wave fns  
from field  $e^{ny}$



# Neutrino Oscillations in RS

- 3 right-handed  $\nu$ 's in bulk (Dirac)  $\nu$
- Dirac mass from Grossman, Neubert, ...

$$\int d^4x \int dy \sqrt{-G} \frac{\lambda_{ij}^{(5)}}{\sqrt{K}} H \bar{L}^i \psi^j$$

TeV-brane
'Bulk'
RH- $\nu$  near Planck brane

!! (Dirac masses suppressed)

## Spectrum (flavor index suppressed)

$$\begin{array}{l} \text{SM } \nu\text{'s} : \\ \text{KK-tower} \end{array} \left\{ \begin{array}{l} \nu_L^{(0)}, \nu_L^{(1)}, \nu_L^{(2)}, \dots \\ - , \nu_R^{(1)}, \nu_R^{(2)}, \dots \end{array} \right.$$

$$\begin{array}{l} \text{Sterile } \nu\text{'s} \\ \text{(Right-handed)} \end{array} \left\{ \begin{array}{l} - , \psi_L^{(1)}, \psi_L^{(2)}, \dots \\ \psi_R^{(0)}, \psi_R^{(1)}, \psi_R^{(2)}, \dots \end{array} \right.$$



# Neutrino Mass Matrix

$$\begin{array}{c}
 \psi_L^{(0)} \\
 \psi_L^{(1)} \\
 \psi_L^{(2)} \\
 \vdots
 \end{array}
 \left(
 \begin{array}{ccc}
 \psi_R^{(0)} & \psi_R^{(1)} & \psi_R^{(2)} \dots \\
 \langle H \rangle & 0 & \langle H \rangle \dots \\
 \langle H \rangle & M & \langle H \rangle \dots \\
 0 & \langle H \rangle & M \\
 \vdots & \vdots & \vdots
 \end{array}
 \right)$$

$\uparrow$   
 KK scale

For solar  $\nu$  both small and large mixing angle solutions can be realized, depending on how we choose the 'c' parameters.



Consider bi maximal mixing

$$\nu_L: C_{eL} = C_{\mu L} = C_{\tau L} = 0.57 \quad \left. \vphantom{C_{eL}} \right\} \leftarrow \text{Explains } m_\nu \gg m_\mu \gg m_e$$

$$(C_{eR} = 0.79, C_{\mu R} = 0.62, C_{\tau R} = 0.50)$$

$$\psi_R: C_{\psi_1} = 1.43, C_{\psi_2} = 1.36, C_{\psi_3} = 1.30$$

Large  $\Delta M^2$  SW

5-d coupling  $\rightarrow$

$$\lambda_{ij} = \begin{pmatrix} -2.0 & 1.5 & -0.5 \\ -1.8 & -1.1 & 1.9 \\ 0.5 & 1.9 & 1.7 \end{pmatrix}$$

$$\Delta m_{atm}^2 = 5 \cdot 10^{-3} \text{ eV}^2 \rightarrow \text{atm } (\sim m_{\nu_1})$$

$$\Delta m_{sol}^2 = 1 \cdot 10^{-4} \text{ eV}^2 \rightarrow \text{solar } (\sim m_{\nu_2})$$

$$\sin^2 2\theta_{atm} \approx 0.98 \rightarrow \text{atm}$$

$$\sin^2 2\theta_{sol} \approx 0.90 \rightarrow \text{solar}$$

$$[U_{e3}^2 = 0.036]$$

close to  
exp bound



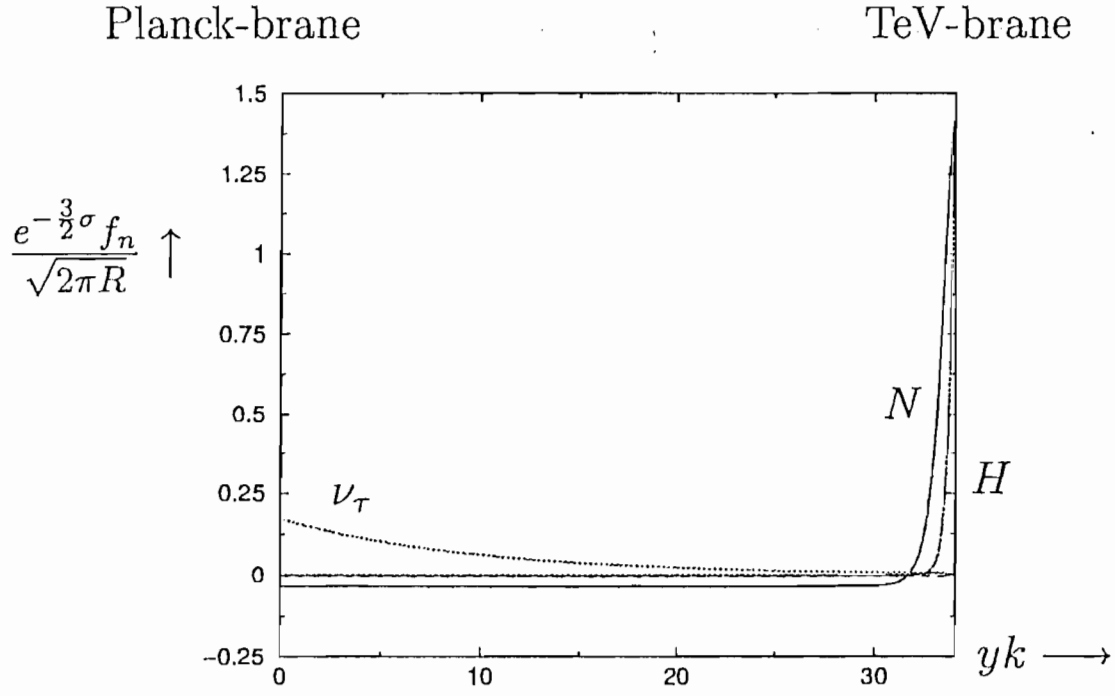
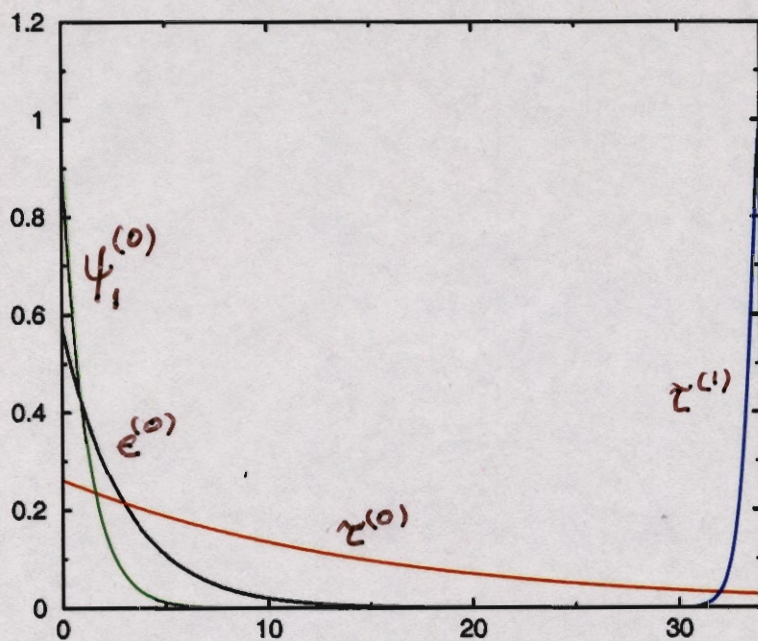


Figure 1: Wave functions of the  $\nu_\tau$  and  $N$  zero modes, together with a sketch of the Higgs profile.

Planck brane

TeV brane

$f_n$  ↑



→  $k_y$



Majorana

Case

Consider the dimension five operator

$$\int d^4x \int dy \sqrt{-g} \frac{l_{ij}}{M_5^2} H^2 \bar{\Psi}_i C \Psi_j$$

↑  
Charge conjugation

$$\equiv \int d^4x M_{ij}^{(\nu)} \bar{\Psi}_i^{(0)} C \Psi_j^{(0)}$$

$$M_{ij}^{(\nu)} = \int_{-\pi R}^{+\pi R} \frac{dy}{2\pi R} \frac{l_{ij}}{M_5^2} e^{-4\sigma(y)} H^2(y).$$

$$f_{0i}^{(\nu)}(y) f_{0j}^{(\nu)}(y)$$

With SM fields in the bulk, dim 5  $\nu$  masses can become unacceptably large if they stray too close to the TeV brane.

A careful choice of  $k/M_5$  ( $\sim 0.01$ ) and  $l$  ( $\sim 10^{-3}$ ) can yield neutrino masses



## See Saw Mechanism in Warped Geometry

In ordinary Seesaw

$$m_\nu \sim m_D^2 / M_R$$

Thus,  $M_R \sim$  intermediate scale  
to generate  $m_\nu$ 's relevant for  
 $\nu$  oscillations;

Intermediate scale  $M_R$  is also  
appropriate for implementing  
Leptogenesis (thermal or non-thermal).

? How to generate such intermediate  
scales in a warped background.



$$S = \int d^4x \int_{-\pi R}^{\pi R} dy \sqrt{-G} \left\{ \bar{\Psi} i E_a^M \gamma^a (\partial_M + \omega_M) \Psi \right. \\ \left. - m_D \bar{\Psi} \Psi - m_M \bar{\Psi} \Psi^c \right\}$$

← curved space index  
 $E_a^M \rightarrow$  fünfbein

$\gamma^a \rightarrow (\gamma^\mu, \gamma^5) \leftarrow$  Dirac matrices in flat space

$$\omega_M = \left( \frac{1}{2} \sigma' e^{-\sigma} \gamma^5 \gamma_\mu, 0 \right), \sigma' = d\sigma/dy$$

$$\bar{\Psi}^c = C_5 \gamma^0 \bar{\Psi}^*$$

$$m_D = -c\sigma' \text{ (Dirac mass)}$$

$$m_M = \text{Majorana mass}$$



Consider two bulk fermion fields  $\nu$  and  $N$  corresponding to left and right handed neutrinos.

Their bulk Dirac masses are

characterized by  $c_\nu$  and  $c_N$ .

- If a Majorana mass <sup>for  $N$</sup>  of order  $M_p$  is localized on the TeV brane, it gets warped down to TeV size; expect to find 'light' masses  
 $\sim \text{GeV}^2/\text{TeV} \sim \text{MeV}$



- With a Majorana mass for  $N$  (of order  $M_P$ ) localized on the Planck brane, one can generate intermediate scale masses of order

$$M_P \exp \{ (2c_N - 1) \pi k R \}, (kR \approx 1)$$

↑  
gauge  
hierarchy

For  $c_N \approx 0.3$ , the intermediate mass is of order  $10^{12}$  GeV. Thus, if it appears that seesaw mechanism can be realized in a warped background. Models?  
Leptogenesis?



## SUMMARY ( $\nu$ Oscillations & Warped Geometry)

- Bulk SM fields;
- Higgs on the TeV Brane;
- Neutrinos could be

Dirac or Majorana;

↓  
introduce  
SM singlet  
fermion

(eliminate dim 5  
Majorana masses  
by imposing some  
symmetry, say lepton  
number)  $\Rightarrow p$  stable;

But  $n - \bar{n}$  possible;

Also  $\mu \rightarrow e \gamma$ , etc.

↓  $\rightarrow$  seesaw  
dim 5 operators

(no new particles  
introduced)



$\beta\beta\nu$  possible;

lepton<sup>no.</sup> parity would  
allow dim 5 ops. but  
suppress  $p$  decay.

• SMOKING GUN:

FIND  $K$ - $K$  excitations  
of SM gauge fields (at LHC?)

(• GRAND UNIFICATION?)



SM + Einstein's GR leads  
to highly successful hot  
big bang cosmology:

- Blackbody nature of cosmic microwave background;  
 $T = 2.725 \text{ K}$  (also CMB).
- Redshift of galaxies;
- Primordial abundance of light elements.

Universe undergoes phase transitions:

- EW at  $T \sim 10^2 \text{ GeV}$ ,  $t \sim 10^{-10} \text{ sec}$
- QCD at  $T \sim 10^1 \text{ GeV}$ ,  $t \sim 10^{-4} \text{ sec}$



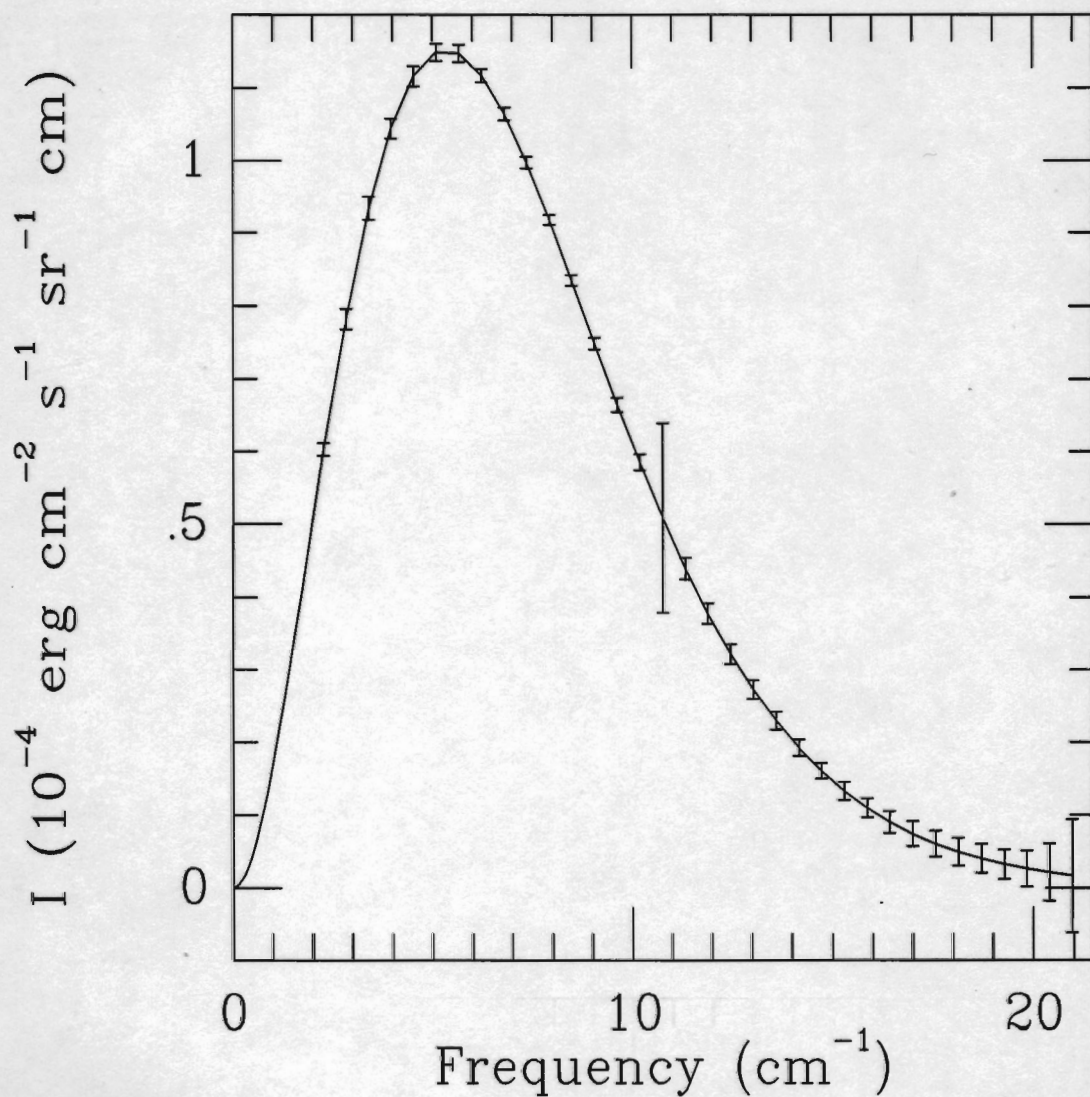


Figure 4: Spectrum of the Cosmic Microwave Background Radiation as measured by the FIRAS instrument on COBE and a black body curve for  $T = 2.7277$  K. Note, the error flags have been enlarged by a factor of 400. Any distortions from the Planck curve are less than 0.005% (see Fixsen *et al.*, 1996).



# WHY INFLATION?

## i) Horizon Problem

The cosmic background radiation is highly isotropic. How did this come about?

Measurement of CBR gives us a snapshot of the universe at  $T \sim 5 \times 10^3 \text{ K}$ . If we observe the CBR with antennae pointing in opposite dir<sup>ns</sup> in the sky you are looking at regions separated by 90 (or so) 'horizon' lengths.

PUZZLE: Why do we still measure  $T_0 \approx 2.728^\circ \text{ K}$  ??



ii) The observable universe contains about  $10^{90}$  particles.

Where did they come from?

iii) The microwave radiation shows some degree of anisotropy,

$$\Delta T/T \big|_{(\theta \sim 10^\circ \rightarrow 90^\circ)} \sim \text{few} \times 10^{-6}$$

What is the origin of this?

Are they somehow related to the rest of the observed structure?



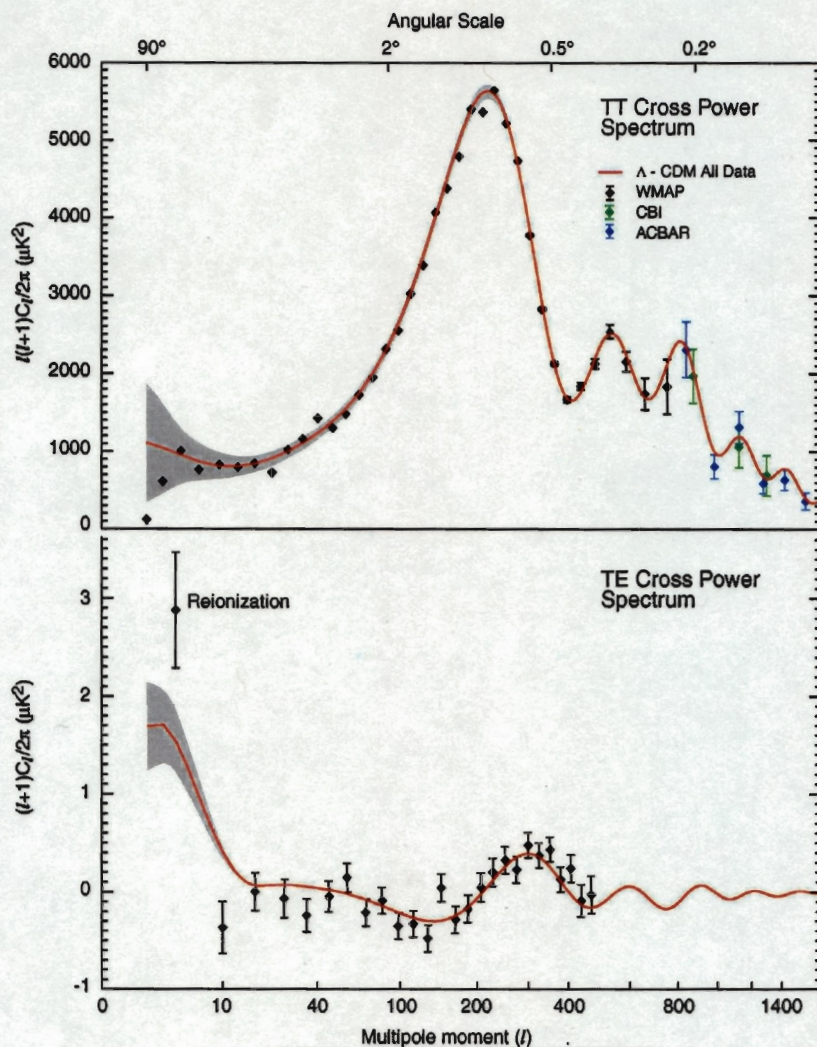


Fig. 12.— The WMAP angular power spectrum. (top:) The WMAP temperature (TT) results are consistent with the ACBAR and CBI measurements, as shown. The TT angular power spectrum is now highly constrained. Our best fit running index  $\Lambda$ CDM model is shown. The grey band represents the cosmic variance expected for that model. The quadrupole has a surprisingly low amplitude. Also, there are excursions from a smooth spectrum (e.g., at  $\ell \approx 40$  and  $\ell \approx 210$ ) that are only slightly larger than expected statistically. While intriguing, they may result from a combination of cosmic variance, subdominant astrophysical processes, and small effects from approximations made for this first year data analysis (Hinshaw et al. 2003b). We do not attach cosmological significance to them at present. More integration time and more detailed analyses are needed. (bottom:) The temperature-polarization (TE) cross-power spectrum,  $(l+1)C_l/2\pi$ . (Note that this is *not* multiplied by the additional factor of  $l$ .) The peak in the TE spectrum near  $l \sim 300$  is out of phase with the TT power spectrum, as predicted for adiabatic initial conditions. The anti-peak in the TE spectrum near  $l \sim 150$  is evidence for superhorizon modes at decoupling, as predicted by inflationary models.

$$\Delta T/T(\theta, \phi) = \sum a_{\ell m} Y_{\ell m}(\theta, \phi)$$

$$C_\ell \equiv \langle |a_{\ell m}|^2 \rangle$$



One resolution of these puzzles  
is obtained by invoking **INFLATION**  
(60th)

Let us suppose that the very early  
universe had an epoch in which  
the scale factor  $R(t)$  underwent  
acceleration. From

$$\frac{\ddot{R}}{R} = -\frac{4\pi G}{3} (\rho + 3p)$$

↑                      ↑  
energy                  pressure  
density

$$\Rightarrow p < -\rho/3 \quad (\text{for } \ddot{R} > 0)$$

$\Rightarrow$  inflation won't take place in a  
(acceleration) radiation or matter  
dominated universe.



Suppose, however, that the very early universe is dominated by a cosmological constant.

This could arise from a homogeneous scalar field  $\phi$  such that

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

$$p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

If the potential term dominates,

$$p_\phi \approx -\rho_\phi$$

and

$$R(t) \propto e^{Ht}, \quad H^2 = \frac{8\pi}{3} G \rho_\phi$$

$\Rightarrow$  inflationary epoch

## Quartic (CW) Potential (non-susy)

$$V(\phi) = A \underset{\substack{\uparrow \\ \text{Gauge} \\ \text{singlet}}}{\phi^4} \left[ \ln\left(\frac{\phi}{M}\right) - \frac{1}{4} \right] + \frac{AM^4}{4}$$

$$V(\phi=M) = 0 ; V(\phi=0) = AM^4/4 \equiv V_0$$

$$V(\phi \ll M) \approx \frac{AM^4}{4} - b\phi^4$$

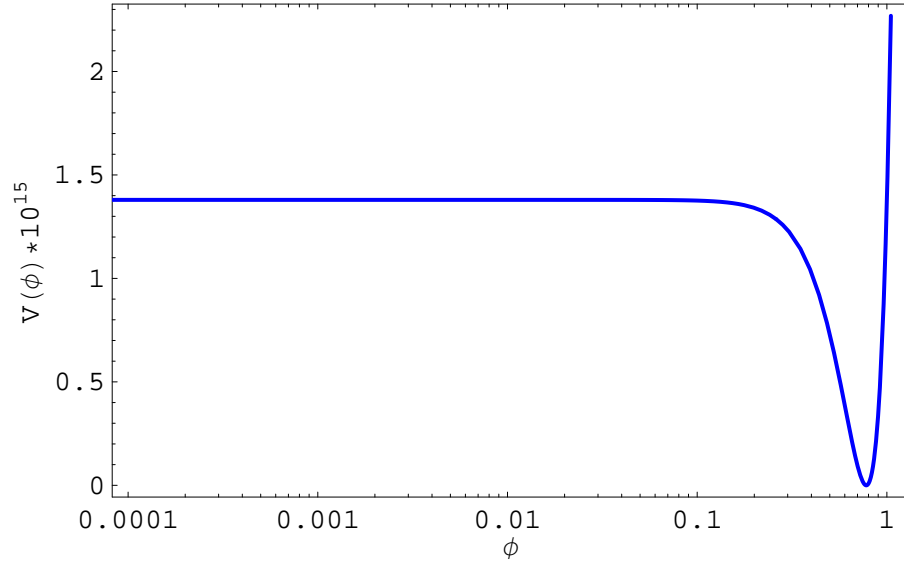
- For  $V_0^{1/4} < 10^{16} \text{ GeV}$ ,  $\phi < m_P (\approx 2.4 \times 10^{18} \text{ GeV})$

Model behaves as for  $V \approx V_0 (1 - (\phi/\mu)^4)$

$$n_s \approx 1 - \frac{3}{N_0}, \quad \alpha \approx (n_s - 1)/N_0$$

$\nwarrow$  e-folds  
for  $k_0$   
 $= 0.002$   
 $\text{Mpc}^{-1}$

( $V_0^{1/4} > 10^5 \text{ GeV}$  to avoid  
conflict with WMAP)



The Coleman-Weinberg potential  $V(\phi) = A\phi^4 \left[ \ln \left( \frac{\phi}{M} \right) - \frac{1}{4} \right] + \frac{AM^4}{4}$  for  $V_0^{1/4} = 5 \times 10^{14}$  GeV ( $m_P = 1$  in the plot).



$$\left\{ \begin{array}{l} \text{WMAP 3 : } n_s \approx 0.95 \pm 0.02 \\ \text{Other : } n_s \approx 0.965 \pm 0.02(?) \end{array} \right.$$

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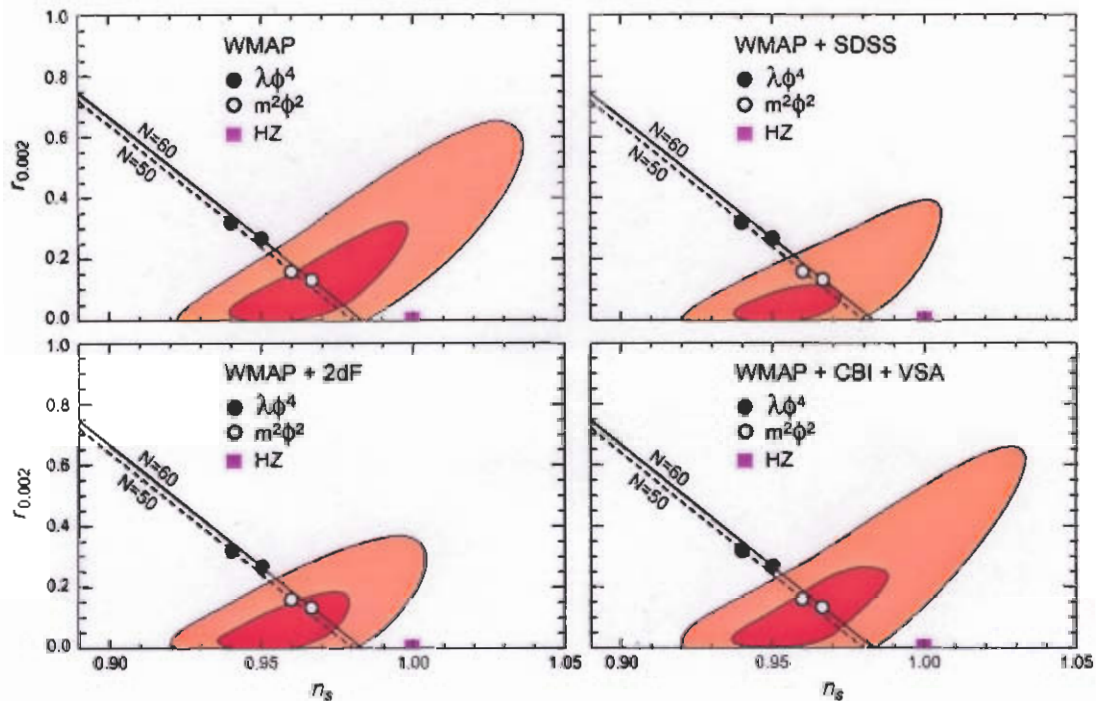


Fig. 14.— Joint two-dimensional marginalized contours (68% and 95% confidence levels) for inflationary parameters ( $r_{0.002}$ ,  $n_s$ ) predicted by monomial potential models,  $V(\phi) \propto \phi^n$ . We assume a power-law primordial power spectrum,  $dn_s/d\ln k = 0$ , as these models predict the negligible amount of running index,  $dn_s/d\ln k \approx -10^{-3}$ . (Upper left) WMAP only. (Upper right) WMAP+SDSS. (Lower left) WMAP+2dFGRS. (Lower right) WMAP+CBI+VSA. The dashed and solid lines show the range of values predicted for monomial inflaton models with 50 and 60 e-folds of inflation (equation (13), respectively). The open and filled circles show the predictions of  $m^2\phi^2$  and  $\lambda\phi^4$  models for 50 and 60 e-folds of inflation. The rectangle denotes the scale-invariant Harrison-Zel'dovich-Peebles (HZ) spectrum ( $n_s = 1, r = 0$ ). Note that the current data prefers the  $m^2\phi^2$  model over both the HZ spectrum and the  $\lambda\phi^4$  model by likelihood ratios greater than 50.

$$\left\{ \begin{array}{l} \text{WMAP 1 : } n_s \approx 0.99 \pm 0.04 \\ \text{Other Analyses : } n_s \approx 0.98 \pm 0.02 \end{array} \right.$$

- For  $V_0^{1/4} \gtrsim 10^{16} \text{ GeV}$ ,  $\phi > m_p$  during observable inflation.

Predictions approach that of  $\phi^2$  potential, with

$$n_s = 1 - \frac{2}{N_0} \simeq 0.96$$

$$r \simeq 0.13$$

$$\alpha \simeq -0.6 \times 10^{-3}$$

? Where does  $\phi$  come from  
Breaks global  $U(1)_{B-L}$  (SM)  
 $U(1)_{PQ}$



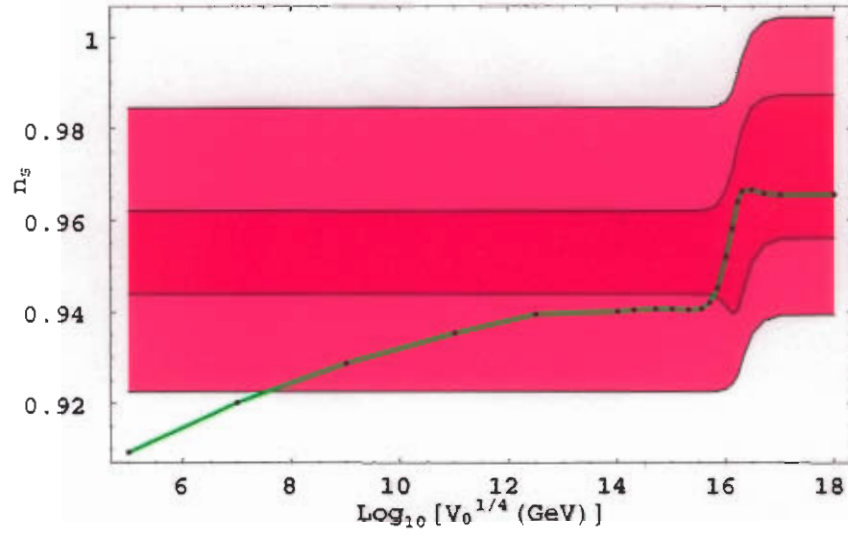


FIG. 1: The spectral index  $n_s$  vs  $\log[V_0^{1/4} \text{ (GeV)}]$  for the Coleman-Weinberg potential (green curve), compared with the WMAP range for  $n_s$  (68% and 95% confidence levels, taken from Spergel *et al.*, astro-ph/0603449). Note that the tensor to scalar ratio  $r \approx 0$  for  $V_0^{1/4} \ll 10^{16} \text{ GeV}$  and  $r \approx 0.14$  for  $V_0^{1/4} \gg 10^{16} \text{ GeV}$ .

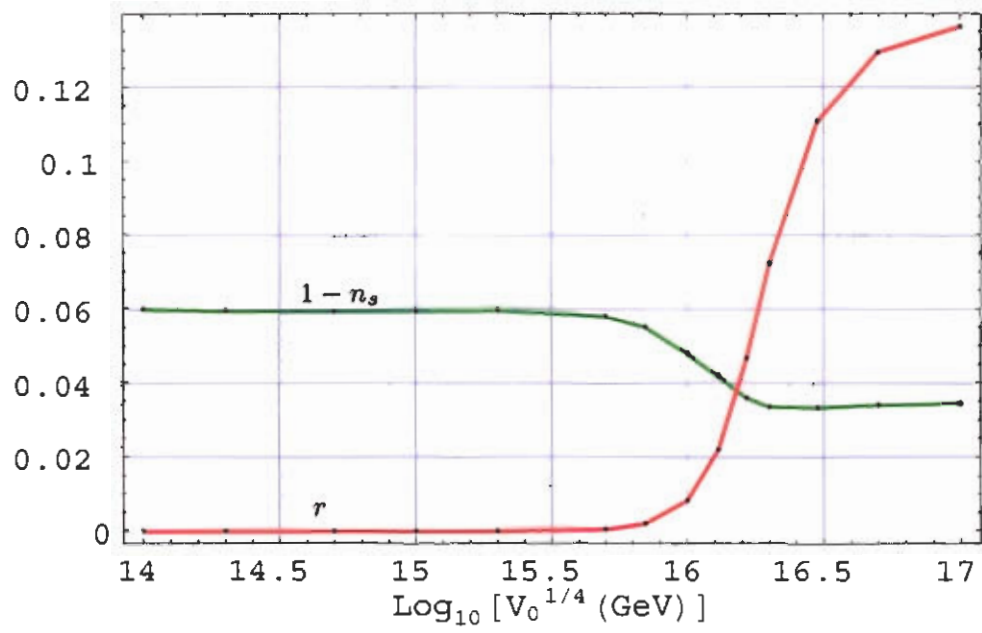


FIG. 1:  $1 - n_s$  and  $r$  vs.  $\log[V_0^{1/4} \text{ (GeV)}]$  for Coleman-Weinberg potential.



# ? Lower Bound on $V_0$

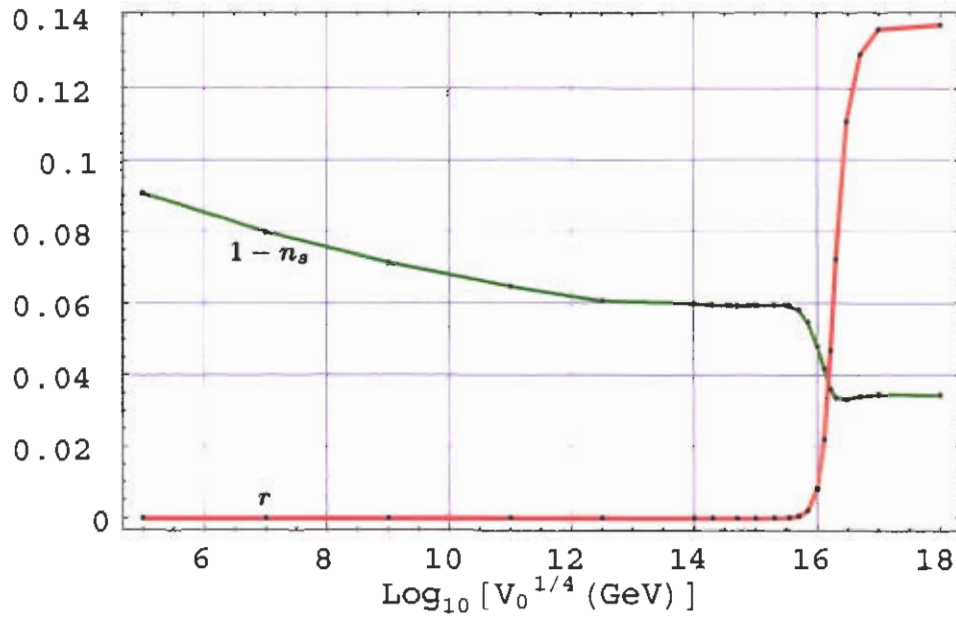


FIG. 1:  $1 - n_s$  and  $r$  vs.  $\log[V_0^{1/4} (\text{GeV})]$  for the Coleman-Weinberg potential.

Table 1: The inflationary parameters for the Shafi-Vilenkin model ( $m_P = 1$ )

$V_0^{1/4}(\text{GeV})$	$A(10^{-14})$	M	$\phi_e$	$\phi_0$	$V(\phi_0)^{1/4}(\text{GeV})$	$n_s$	$\alpha (-)10^{-3}$	$r$
$10^{13}$	1.0	0.018	0.010	$3.0 \times 10^{-6}$	$\approx V_0^{1/4}$	0.938	1.4	$9 \times 10^{-15}$
$5 \times 10^{13}$	1.2	0.088	0.050	$7.5 \times 10^{-5}$	$\approx V_0^{1/4}$	0.940	1.3	$5 \times 10^{-12}$
$10^{14}$	1.3	0.17	0.10	$3.0 \times 10^{-4}$	$\approx V_0^{1/4}$	0.940	1.2	$9 \times 10^{-11}$
$5 \times 10^{14}$	1.9	0.79	0.51	$7.5 \times 10^{-3}$	$\approx V_0^{1/4}$	0.941	1.2	$5 \times 10^{-8}$
$10^{15}$	2.3	1.5	1.1	0.030	$\approx V_0^{1/4}$	0.941	1.2	$9 \times 10^{-7}$
$5 \times 10^{15}$	4.8	6.2	5.1	0.71	$\approx V_0^{1/4}$	0.942	1.0	$5 \times 10^{-4}$
$1 \times 10^{16}$	5.2	12	10	3.2	$9.9 \times 10^{15}$	0.952	1.0	$8 \times 10^{-3}$
$2 \times 10^{16}$	1.1	36	35	23	$1.7 \times 10^{16}$	0.966	0.6	0.07
$3 \times 10^{16}$	.17	86	85	72	$1.9 \times 10^{16}$	0.967	0.6	0.11



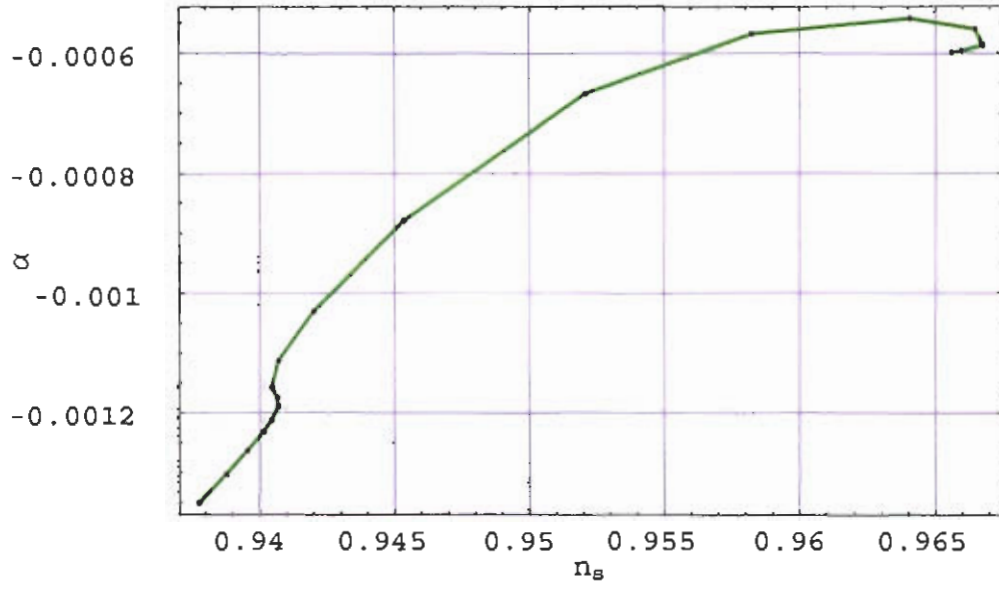



FIG. 2:  $\alpha$  vs.  $n_s$  for the Coleman-Weinberg potential.

Let  $\phi$  denote the inflaton field with vev which breaks

$U(1)_{B-L}$ . Decay of  $\phi$

occurs via

$$h_{ij} \phi N_i N_j, \quad h_{ij} \sim \frac{m_N}{\langle \phi \rangle}$$

 RH neutrino

The observed baryon asymmetry arises via leptogenesis.



# Conclusions

- Quartic (CW) potential yields predictions in good agreement with WMAP3.
- When applied to  $SO(10)$  broken, say, via  $SU(4) \times SU(2) \times SU(2)$ , one finds that doubly charged monopoles may exist close to MACRO limits.
- Leptogenesis is automatic.
- susy hybrid inflation models are nicely related to susy GUTs. WMAP3 appears to prefer non-minimal Kähler potential. Nice properties are retained.

- Much rests on

Planck (07) !

Precision Cosmology needed  
to distinguish between  
models .



# Summary

- Neutrinos have been 'around' for a long time (compare quarks) and they remain very elusive:

Masses  $m_{\nu_i}$ ?

Third mixing angle  $\theta_{13}$ ?

CP violating phase  $\delta$ ?

Majorana or Dirac?

- Rare decays:  $\mu \rightarrow e\gamma, \mu \rightarrow eee, \dots$

These will provide tests for models of  $\nu$  masses/mixings.

- Cosmic Neutrino Background ?
- KK particles in warped models may be found at LHC.
- Low energy tests of leptogenesis?
- Seesaw at LHC ?
- Precision Cosmology will test inflation models (Planck '07).